



NFA ID: 0208888

VISION FINANCIAL MARKETS LLC

Not an NFA Member

Business Address

4 High Ridge Park
Suite 100
Stamford, CT 06905
United States

Phone Number

203-388-2700

Doing Business As

GKWM
GOLD KEY WEALTH MANAGEMENT
VFM
VFMLLC
VISION
VISION FINANCIAL MARKETS
VISION LP

Formerly Known As

VISION LIMITED PARTNERSHIP

Related NFA IDs

0479985

Not an NFA Member

Non-Member not subject to NFA oversight.



Regulatory Actions ?

5
NFA Actions**3**
CFTC Actions**23**
Exchange Actions[VIEW ALL ACTIONS](#)**11** **NFA Arbitration Decisions** ?
[View Details](#)**172** **CFTC Reparations Cases** ?
[View Details](#)**History Details**

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| Date | Description |
|------------|--|
| 04/02/2015 | NFA MEMBER WITHDRAWN |
| 04/02/2015 | COMMODITY POOL OPERATOR WITHDRAWN |
| 04/02/2015 | FUTURES COMMISSION MERCHANT WITHDRAWN |
| 08/13/2008 | NOTICE BROKER DEALER WITHDRAWN |
| 09/30/2002 | NOTICE BROKER DEALER REGISTERED |
| 05/16/1990 | FUTURES COMMISSION MERCHANT REGISTERED |
| 05/02/1990 | FUTURES COMMISSION MERCHANT PENDING |
| 02/01/1988 | COMMODITY POOL OPERATOR REGISTERED |
| 02/01/1988 | NFA MEMBER APPROVED |
| 01/27/1988 | COMMODITY POOL OPERATOR PENDING |

Case 1:20-cv-03668-GHW Document 24-4 Filed 07/28/20 Page 3 of 3

Date

01/27/1988

NFA MEMBER PENDING

N/A

ALSO SEE NFA ID 0479985

Pools & Pool Exemptions [?](#)[View All](#)

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